# PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449	ATTY, DOCKET NO.	SERIAL NO
1 Only 1 10- 1443	10007799-1	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT	<del></del>
STATEMENT	Xia Sheng et al	
	FILING DATE	GROUP
(Use several sheets if necessary)	04/20/2004	

#### REFERENCE DESIGNATION

### **U.S. PATENT DOCUMENTS**

04/30/2001

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
IR	1A	6,162,716	12/19/2000	Chen-Hua Yu et al	438	592
	1B	6,136,684	10/24/2000	Nobuhiko Sato et al	438	624
	1C	6,187,604	02/13/2001	Terry L. Gilton	438	20
	1D	5,990,605	11/23/1999	Takamasa Yoshikawa et al	313	310
	1E	5,894,189	04/13/1999	Kiyohide Ogasawara et al	313	310
	1F	5,863,232	01/26/1999	Seok Soo Lee	445	24
	1G	5,556,530	09/17/1996	Walter Finkelstein et al	205	122
V	1H	5,296,388	03/22/1994	Shuichi Kameyama et al	437	31
	11					
	1J					
	1K					

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
		NUMBER					YES	NO
WIV	1L	EP1047095A2	10/25/2000	Yoshifumi Watabe et al	H01J	1/30	×	
	1M	EP1026721A1	08/09/2000	Takashi Hatai et al	H01J	1/30	x	
	1N	EP1003195A2	05/24/2000	Takashi Hatai et al	H01J	1/30	x	
	10	EP0913849A2	05/06/1999	Takuya Komoda et al	H01J	1/30	х	
	1P							

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Ŷ	M	10	Jean-Claude Vial & Jacques Derrien - "Porous Silicon Science and Technology" - February 1994 pages 32-53
		1R	Selena Chan & Philippe M. Fauchet - "Tunable, Narrow, and Directional Lumiescence From Porous Silicon Light Emitting Devices" - July 1999 - pages 274-276
V		1\$	Xia Sheng, Hideki Koyama & Nobuyoshi Koshida - "Efficient Surface-Emitting Cold Cathodes Based on Electroluminescent Porous Silicon Diodes" - March/April 1998 - pages 793-795

Rev 10/00 (PTO1449)

### PATENT APPLICATION

Sheet 2 of 2

FO	RM PT	ГО-1449			ATTY. DOCKET NO.		SERIAL NO.			
LIST OF PATENTS AND PUBLICATIONS FOR					10007799-1					
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J.C	10	N. Koshida, X. Sh May 1999 - page:	neng & T. Komoda s 371-376	- "0	uasiballistic Electron Emiss	sion From Po	orous Sili	con Di	odes" -	
gs	1R	Paul Snow, Yi Zho Silicon" - April 12	ou, Philip Allcock, , 2001 - pages 1-	Johr 2	n Pottage, Jonathan Knight	: & Philip Ru	ssell - "F	Porous		
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DATE CONSIDERED 4/22/93

Rev 10/00 (PTO1449)

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Substitute for form 1449B/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 1 Sheet 1

Complete if Known			
Application Number	09/845,945		
Filing Date	Apr 30, 2001		
First Named Inventor	Poh Boon Phua		
Group Art Unit	2874		
Examiner Name			
Attorney Docket Number	1085-022-PWH		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	$\blacksquare$
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
gar		US Patent Application No. 09/963,181 "An Apparatus for Generating Laser Radiation" filed 9/25/01; 18 pages	
		BOWMAN et al; "High Power Diode Pumped Micron Lasers" SPIE Vol. 1865 pp 156 - 163; 1993	
		SHANNON et al; "High Average Power Diode-Pumped Lasers Near 2 um" SPEI Vol. 1865; pp 164-173	
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		HONEA; "115-W TM:YAG Diode-Pumped Solid-State Laser"; IEEE Jnl of Sel Topics in Quatum Electonics Vol 33 9/1997 9 pages	
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		BOLLIG "2-W Ho:YAG Laser Intercavity Pumped by a Diode-Pumped Tm:YAG Laser" Optics Letters Vol 23 No 22 11/1998 3 pages	
1		RUSTAD; Modeling of Laser-Pumped TM and HO Lasers Accounting for Upconversion and Bround State Depletion; IEEE Journal of Quant. El. V32, #9 9/1996; 12 pages	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.